

Retail Services

Platform Securities provides retail brokers with the transparency and flexibility to streamline their operations, delivering front-to-back automation and freeing them up to concentrate on their core business. Retail broking clients have real-time access and flexible drill-down capabilities into business information, including client money requirements, counterparty risk and FCA returns.

This complete solution provides dealing, settlement and custody on a single platform which is fully configurable to support complex and variable business processes and products. Agency dealing is available in the UK and all major international markets along with online research from major securities houses, custody for foreign holdings and foreign exchange. Platform Securities supports connections to multiple RSP gateways to achieve best execution.

The system has been developed to provide brokers with the key information and functionality that they require to support their clients, including:

- Straight-through-processing (STP)
- Scalability for high volumes of transactions
- Fully integrated front office, back office and web solutions
- International processing – true multi-currency, global settlement and custody

We use a single database to support a comprehensive range of services, including:

- Client inception, including all 'Know Your Client' data required by the FCA
- Internet dealing and call centre support
- Order routing, real-time trading and limit-minding
- Integrated order management and dealing – linked to UK RSPs and Order Books
- Integrated STP Settlement links – CREST, Cofunds
- Client database & CRM – Client Accounts, Portfolios, Fund Management
- Full range of portfolio management features
- Workflow and Management Information
- International dealing systems
- Corporate Actions
- Tax Wrappers – SIPPs, ISAs, Unit Trusts
- Integrated CGT and GIP compliant performance
- Web portal branded in your look and feel

For more information, please [contact us](#) today.